

MESSAGE CONFIRMATION

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FAX NUMBER : 0041712901001  
NAME : MONTTELI ET ASSOCIES

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*Montteli & Associés*  
Formateur en droit de la propriété intellectuelle

09 May 2005

International Bureau of WIPO  
3A, chemin des Colombes  
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VIA FACSIMILE TO: 022 308-7060

Attn: Sonia GARCIA MELLITI

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You ref. no: PCT/AB2004/003664

Title: PRECISION SPINDLE INSTRUMENT HOLDER FOR SURGICAL  
INSTRUMENT

Applicant: Desimmed SA et al

Our Ref: PWO-P001-042 Int'l filing date: 10 November 2004

ARTICLE 19 AMENDMENT

Dear Sirs,

In response to your Notification of Transmittal of the International Search Report  
and the Written Opinion of the International Searching Authority (Form ISA/220) dated  
7 March 2005, Applicant submits the following amendments to the claims under Article  
19 of the PCT:

*Moetteli & Associés*  
Conseils en Propriété Intellectuelle Américaine

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09 May 2005

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34, chemin des Colombettes  
1211 Geneva 20

VIA FACSIMILE TO: 022 338-7060,

Attn: Sonia GARCIA MELLITI

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RECOMMANDE

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Your ref. no: PCT/IB2004/003684

Title: PRECISION SPINDLE INSTRUMENT HOLDER FOR SURGICAL  
INSTRUMENT

Applicant: Praximed SA et al

Our Ref: FWO-P001-042 Int'l filing date: 10 November 2004

#### ARTICLE 19 AMENDMENT

Dear Sirs,

In response to your Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority (Form ISA/220), mailed 7 March 2005, Applicant submits the following amendments to the claims under Article 19 of the PCT:

What is claimed is:

- 1 1. A surgical instrument holder (10) comprising:  
2 (a) a head assembly (68) having a shank (12) with a first driveable end (14) and second  
3 coupling end (16), the second end comprising a coupling device (20) having an interface (22) for  
4 receiving a surgical instrument (24) and held in functional assembly to the shank by a releasable  
5 locking mechanism (26) ~~comprised of a ring (30) slideably disposed about the shank, a spring~~  
6 ~~(32) biased against the coupling device (20) by the ring, and a connection device (34) retaining~~  
7 ~~the ring in a fixed position during use; and~~  
8 (b) a drive spindle assembly (42), connected to the head assembly (68) so as to transmit  
9 ~~force torque~~ therethrough, the spindle assembly comprising an elongated drive spindle (40), high-  
10 precision bearings (44, 120, 122) and a cylindrical tube (46), wherein the drive spindle (40) is  
11 releasably mounted to an end (36) of the spindle assembly and is supported for rotation within the  
12 cylindrical tube (46) by the ~~high-precision~~ bearings disposed therebetween and held in place at  
13 least in part by the shank (12), the bearings (44) precisely controlling the position of a surgical  
14 instrument (24) affixed thereto;  
15 ~~wherein further, the connection device (34) provides a common quick-release connection~~  
16 ~~with the head assembly and the drive spindle assembly (42), whereupon unlocking of the~~  
17 ~~connection device (34) enables quick disassembly of the connection device (34), spring (32), ring~~  
18 ~~(20), and drive spindle assembly (42) for cleaning and component sterilization.~~
- 1 2. (new) The surgical instrument holder (10) of claim 1, wherein the locking mechanism (26) is  
2 comprised of a ring (30) slideably disposed about the shank, a spring (32) biased against the  
3 coupling device (20) by the ring, and a connection device (34) retaining the ring in a fixed  
4 position during use.
- 1 3. (new) The surgical instrument holder (10) of claim 1, wherein further, the locking mechanism  
2 (26) provides a common quick-release connection with the head assembly (68) and the drive  
3 spindle assembly (42), whereupon unlocking of the locking mechanism (26) enables quick  
4 disassembly of the surgical instrument holder (10) for inspection, cleaning and component  
5 sterilization.
- 1 4 2. The surgical instrument holder (10) of claim 3 4, wherein the ring (30) of the releasable  
2 locking mechanism (26) is moveable in a locking direction to lock the instrument (24) onto the  
3 interface (22).

1 5.3. The surgical instrument holder (10) of claim 21, wherein the connection device (34)  
2 comprises at least one pin (54) mounted in a coupling sleeve (56) against which the spring (32) is  
3 biased by the ring (30), the sleeve being slideable about the shank (12) so as to operate a ball-  
4 detent (60).

1 6.4. The surgical instrument holder (10) of claim 1, wherein a handle (62) is attached to the  
2 first end of the elongated spindle assembly (42).

1 7.6. The surgical instrument holder (10) of claim 1, wherein the spindle (40) is held within a  
2 spindle tube (46) by precision ball bearings (44, 120, 122) which provide precision rotation of the  
3 spindle with the tube, and wherein the tube (46) is provided with position sensors (125), placed at  
4 pre-determined locations (124) on the tube, thereby enabling the instrument holder (10) to  
5 participate in the communication of position information.

1 8.6. The surgical instrument holder (10) of claim 1, wherein the interface (22) is a recess (22) in  
2 the coupling end (16) of the shank (12).

1 9.7. The surgical instrument holder (10) of claim 8.6, wherein the recess (22) is cylindrical and  
2 coaxial with a central axis (64) of the shank (12).

1 10.8. The surgical instrument holder (10) of claim 9.7, wherein a chamfered surface (66) is  
2 disposed within the recess (22) to align the instrument (24) axially.

1 11.9. The surgical instrument holder (10) of claim 2.4, wherein the spring (32) is a helical  
2 compression spring.

1 12.10. The surgical instrument holder (10) of claim 2, wherein the locking mechanism (26)  
2 device (30) is disposed in the coupling end (16) of the shank (12).

1 13.11. The surgical instrument holder (10) of claim 2, wherein the connection device (34)  
2 activates at least one ball-detent (60).

- 1 13.42. The surgical instrument holder (10) of claim 13.41, wherein the connection device (34)  
2 activates at least two circumferentially spaced apart ball-detents (60).
- 1 13.43. The surgical instrument holder (10) of claim 2, wherein the locking direction is toward the  
2 coupling end (16) of the shank (12).
- 1 16.44. The surgical instrument holder (10) of claim 2.4, wherein the connection device (34)  
2 cooperates with a bayonet slot (94) to lock the device on the shaft (12).
- 1 17.45. The surgical instrument holder (10) of claim 16.44, wherein the pin (54) of the connection  
2 device (34) locks in the bayonet slot (94).
- 1 18.46. The surgical instrument holder (10) of the claim 17.45, wherein the bayonet slot (94) is  
2 disposed on the shank (12).
- 1 19.47. The surgical instrument holder (10) of claim 13.44, wherein the ball-detent (60) comprises  
2 a ball (106) received into an annular recess (110) in the instrument holder, the locking component  
3 (34) sliding over the ball detent (60) to bias a ball (106) into the recess (110) to lock the shank  
4 (12) onto the drive spindle (36) in a manner to lock the cover assembly (45) including the  
5 bearings (122) in place.  
6
- 1 20.48. The surgical instrument holder (10) of claim 1, wherein the interface (22) is a recess  
2 intersected by a transverse slot (76) in which a wall (80) of the slot engages a corresponding  
3 surface (82) of the instrument (24).
- 1 21.49. The surgical instrument holder (10) of claim 20.48, wherein the recess (22) includes a seal  
2 (84) shaped to receive the end of the instrument (24) about its circumference (86).
- 1 22.50. The surgical instrument holder (10) of claim 1, wherein the shank (12) is hollow along its  
2 length so as to provide a channel (90) facilitating chip removal.

23-24. The surgical instrument holder (10) of claim 1, wherein the tube (46) includes position sensors (124) mounted on the spindle (40) which participate in the communication of position information to a computer to aid in computer assisted surgery.

24-22. The surgical instrument holder (10) of claim 24, wherein a frustoconical widened part (100) provides a grip for the thumb and index finger for pulling the locking component (30) back counter to the action of the spring (32) in order to release the instrument (24) fixed on the instrument holder.

25-23. The surgical instrument holder (10) of claim 24, wherein, the spindle assembly (42) is disconnectable from the head assembly (68) by means of the common connection device (34) when a user holds the device (34) having an internal stud (54) against a bias of the spring (32), then turns the ring (34) in such a way that its stud (54) leaves a bayonet catch (94) so as to unlock the ring from the catch, the user being able to remove the ring (34) from the shank (12), and then the spring (32), followed by the locking component (30) as well. 1.

26. (new). A surgical instrument holder (10) comprising:

(a) a head assembly (68) having a shank (12) with a first driveable end (14) and second coupling end (16), the second end comprising a coupling device (20) having an interface (22) for receiving a surgical instrument (24) and held in functional assembly to the shank by a releasable locking mechanism (26) comprised of a ring (30) slidably disposed about the shank, a spring (32) biased against the coupling device (20) by the ring, and a connection device (34) retaining the ring in a fixed position during use; and

(b) a drive spindle assembly (42), connected to the head assembly (68) so as to transmit force therethrough, the spindle assembly comprising an elongated drive spindle (40), high-precision bearings (44, 120, 122) and a cylindrical tube (46), wherein the drive spindle (40) is releasably mounted to an end (36) of the spindle assembly and is supported for rotation within the cylindrical tube (46) by the high-precision bearings disposed therebetween and held in place at least in part by the shank (12), the bearings (44) precisely controlling the position of a surgical instrument (24) affixed thereto;

wherein further, the connection device (34) provides a common quick-release connection with the head assembly and the drive spindle assembly (42), whereupon unlocking of the connection device (34) enables quick disassembly of the connection device (34), spring (32), ring (30), and drive spindle assembly (42) for inspection, cleaning and component sterilization

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§ § §

Further, Applicant provides replacement sheets 8-12, which include the claims and the abstract.

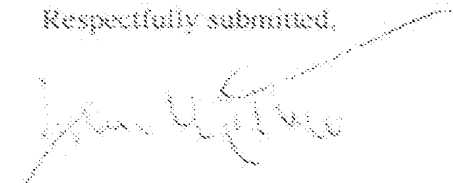
5

We trust that all is in order.

If the Examiner has questions, he is invited to contact the Undersigned by phone at 01141 71 230 1000 or fax at 01141 71 230 1001, or by email to [moetteli@gmail.com](mailto:moetteli@gmail.com). If  
10 further fees are due for this amendment, the Office is authorized to debit the deposit account of Moetteli & Associés SA, No. 42794.

15

Respectfully submitted,



John Moetteli  
Patent Attorney-at-law

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Encls: mentioned replacement sheets 8, 9, 10, 11, 12

30

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What is claimed is:

1 1. A surgical instrument holder (10) comprising:

2 (a) a head assembly (68) having a shank (12) with a first driveable end (14) and second  
3 coupling end (16), the second end comprising a coupling device (20) having an interface (22) for  
4 receiving a surgical instrument (24) and held in functional assembly to the shank by a releasable  
5 locking mechanism (26); and

6 (b) a drive spindle assembly (42), connected to the head assembly (68) so as to transmit  
7 force therethrough, the spindle assembly comprising an elongated drive spindle (40), bearings  
8 (44, 120, 122) and a cylindrical tube (46), wherein the drive spindle (40) is releasably mounted to  
9 an end (36) of the spindle assembly and is supported for rotation within the cylindrical tube (46)  
10 by the bearings disposed therebetween and held in place at least in part by the shank (12), the  
11 bearings (44) precisely controlling the position of a surgical instrument (24) affixed thereto.

1 2. The surgical instrument holder (10) of claim 1, wherein the locking mechanism (26) is  
2 comprised of a ring (30) slideably disposed about the shank, a spring (32) biased against the  
3 coupling device (20) by the ring, and a connection device (34) retaining the ring in a fixed  
4 position during use.

1 3. The surgical instrument holder (10) of claim 1, wherein further, the locking mechanism (26)  
2 provides a common quick-release connection with the head assembly (68) and the drive spindle  
3 assembly (42), whereupon unlocking of the locking mechanism (26) enables quick disassembly of  
4 the surgical instrument holder (10) for inspection, cleaning and component sterilization.

1 4. The surgical instrument holder (10) of claim 3, wherein the ring (30) of the ~~releasable~~ locking  
2 mechanism (26) is moveable in a locking direction to lock the instrument (24) onto the interface  
3 (22).

1 5. The surgical instrument holder (10) of claim 2, wherein the connection device (34) comprises  
2 at least one pin (54) mounted in a coupling sleeve (56) against which the spring (32) is biased by  
3 the ring (30), the sleeve being slideable about the shank (12) so as to operate a ball-detent (60).

1 6. The surgical instrument holder (10) of claim 1 ~~(40)~~, wherein a handle (62) is attached to the  
2 first end of the elongated spindle assembly (42).



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- 1 7. The surgical instrument holder (10) of claim 1, wherein the spindle (40) is held within a spindle  
2 tube (46) by precision ball bearings (44, 120, 122) which provide precision rotation of the spindle  
3 with the tube.
- 1 8. The surgical instrument holder (10) of claim 1, wherein the interface (22) is a recess (22) in the  
2 coupling end (16) of the shank (12).
- 1 9. The surgical instrument holder (10) of claim 8, wherein the recess (22) is cylindrical and  
2 coaxial with a central axis (64) of the shank (12).
- 1 10. The surgical instrument holder (10) of claim 9, wherein a chamfered surface (66) is disposed  
2 within the recess (22) to align the instrument (24) axially.
- 1 11. The surgical instrument holder (10) of claim 2, wherein the spring (32) is a helical  
2 compression spring.
- 1 12. The surgical instrument holder (10) of claim 2, wherein the locking mechanism (26) is  
2 disposed in the coupling end (16) of the shank (12).
- 1 13. The surgical instrument holder (10) of claim 2, wherein the connection device (34) activates  
2 at least one ball-detent (60).
- 1 14. The surgical instrument holder (10) of claim 13, wherein the connection device (34) activates  
2 at least two circumferentially spaced apart ball-detents (60).
- 1 15. The surgical instrument holder (10) of claim 2, wherein the locking direction is toward the  
2 coupling end (16) of the shank (12).
- 1 16. The surgical instrument holder (10) of claim 2, wherein the connection device (34)  
2 cooperates with a bayonet slot (94) to lock the device on the shaft (12).
- 1 17. The surgical instrument holder (10) of claim 16, wherein the pin (54) of the connection  
2 device (34) locks in the bayonet slot (94).

REPLACEMENT SHEET

1 18. The surgical instrument holder (10) of the claim 17, wherein the bayonet slot (94) is disposed  
2 on the shank (12).

1 19. The surgical instrument holder (10) of claim 13, wherein the ball-detent (60) comprises a ball  
2 (106) received into an annular recess (110) in the instrument holder, the locking component (34)  
3 sliding over the ball detent (60) to bias a ball (106) into the recess (110) to lock the shank (12)  
4 onto the drive spindle (30) in a manner to lock the cover assembly (45) including the bearings  
5 (122) in place.

1 20. The surgical instrument holder (10) of claim 1, wherein the interface (22) is a recess  
2 intersected by a transverse slot (76) in which a wall (80) of the slot engages a corresponding  
3 surface (82) of the instrument (24).

1 21. The surgical instrument holder (10) of claim 20, wherein the recess (22) includes a seat (84)  
2 shaped to receive the end of the instrument (24) about its circumference (86).

1 22. The surgical instrument holder (10) of claim 1, wherein the shank (12) is hollow along its  
2 length so as to provide a channel (90) facilitating chip removal.

1 23. The surgical instrument holder (10) of claim 1, wherein the tube (46) includes position  
2 sensors (124) mounted on the spindle (40) which participate in the communication of position  
3 information to a computer to aid in computer assisted surgery.

1 24. The surgical instrument holder (10) of claim 2, wherein a frustoconical widened part (100)  
2 provides a grip for the thumb and index finger for pulling the locking component (30) back  
3 counter to the action of the spring (32) in order to release the instrument (24) fixed on the  
4 instrument holder.

1 25. The surgical instrument holder (10) of claim 2, wherein, the spindle assembly (42) is  
2 disconnectable from the head assembly (68) by means of the common connection device (34)  
3 when a user holds the device (34) having an internal stud (54) against a bias of the spring (32),  
4 then turns the ring (34) in such a way that its stud (54) leaves a bayonet catch (94) so as to unlock

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5 the ring from the catch, the user being able to remove the ring (34) from the shank (12), and then  
6 the spring (32), followed by the locking component (30) as well. 1.

1 26. (new) A surgical instrument holder (10) comprising:

2 (a) a head assembly (68) having a shank (12) with a first driveable end (14) and second  
3 coupling end (16), the second end comprising a coupling device (20) having an interface (22) for  
4 receiving a surgical instrument (24) and held in functional assembly to the shank by a releasable  
5 locking mechanism (26) comprised of a ring (30) slideably disposed about the shank, a spring  
6 (32) biased against the coupling device (20) by the ring, and a connection device (34) retaining  
7 the ring in a fixed position during use; and

8 (b) a drive spindle assembly (42), connected to the head assembly (68) so as to transmit  
9 force therethrough, the spindle assembly comprising an elongated drive spindle (40), high-  
10 precision bearings (44, 120, 122) and a cylindrical tube (46), wherein the drive spindle (40) is  
11 releasably mounted in an end (36) of the spindle assembly and is supported for rotation within the  
12 cylindrical tube (46) by the high-precision bearings disposed therebetween and held in place at  
13 least in part by the shank (12), the bearings (44) precisely controlling the position of a surgical  
14 instrument (24) affixed thereto;

15 wherein further, the connection device (34) provides a common quick-release connection  
16 with the head assembly and the drive spindle assembly (42), whereupon unlocking of the  
17 connection device (34) enables quick disassembly of the connection device (34), spring (32), ring  
18 (30), and drive spindle assembly (42) for inspection, cleaning and component sterilization

REPLACEMENT SHEET

PRECISION SPINDLE INSTRUMENT HOLDER  
FOR SURGICAL INSTRUMENT

Abstract of the Invention

A surgical instrument holder (10) is made up of a head assembly (68) and a drive spindle assembly (42). The head assembly (68) has a shank (12) with a first driveable end (14) and second coupling end (16). The second end has a coupling device (20) having an interface (22) for receiving a surgical instrument (24). The instrument (24) is held in functional assembly to the shank by a releasable locking mechanism (26). The releasable locking mechanism (26) is made up of a ring (30) slideably disposed about the shank, a spring (32) biased against the coupling device (20) by the ring, and a connection device (34) retaining the ring in a fixed position during use. The drive spindle assembly (42) is connected to the head assembly (68) so as to transmit torque therethrough. The spindle assembly (42) has an elongated drive spindle (40), high-precision bearings (44, 120, 122) and a cylindrical tube (46). The drive spindle (40) is releasably mounted to an end (36) of the spindle assembly and is supported for rotation within the cylindrical tube (46) by the high-precision bearings disposed therebetween and held in place at least in part by the shank (12). The bearings (44) precisely control the position of a surgical instrument (24) affixed thereto. The connection device (34) provides a common quick-release connection with the head assembly (68) and the drive spindle assembly (42), such that unlocking of the connection device (34) enables quick disassembly of the connection device, spring (32), ring (30), and drive spindle assembly (42) for cleaning and component sterilization.

REPLACEMENT SHEET